



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400 Facsimile: (703) 836-2787 Attorney Docket No.: 109975

Date: June 28, 2001

09/892872

BOX PATENT APPLICATION

Customer Number: 25944 NONPROVISIONAL APPLICATION TRANSMITTAL RULE §1.53(b)

Director of the U.S. Patent and Trademark Office

Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title):

FERROELECTRIC MEMORY AND METHOD OF MAKING THE SAME

By (Inventors):

Tatsuya SHIMODA; Koichi OGUCHI; Eiji NATORI; Kazumasa HASEGAWA; Atsushi

TAKAKUWA

| \boxtimes | Formal drawings (Figs. 1-26C; 15 sheets) are attached. |
|-------------|---|
| | Use Figure for front page of Publication. |
| | A Declaration and Power of Attorney is filed herewith. |
| | This patent application is assigned to <u>SEIKO EPSON CORPORATION</u> . |
| | The executed Assignment is filed herewith. |
| | An Information Disclosure Statement is filed herewith. |
| | Entitlement to small entity status is hereby asserted. |
| | A Preliminary Amendment is filed herewith. |
| | Please amend the specification by inserting before the first line the sentence This nonprovisional application claims |
| | the benefit of U.S. Provisional Application No, filed |
| \boxtimes | Priority of foreign application No. 2000-199987 filed June 30, 2000 in Japan is claimed (35 U.S.C. §119). |
| | A certified copy of the above corresponding foreign application(s) is filed herewith. |
| | This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies |
| | that the invention disclosed in this application has not been and will not be the subject of an application filed in |
| | another country, or under a multilateral international agreement, that requires publication at eighteen months after |
| | filing. |
| \boxtimes | The filing fee is calculated below: |

CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED ABOVE

| FOR: | NO. FILED | NO. EXTRA |
|---------------------------------------|-----------|-----------|
| BASIC FEE | | |
| TOTAL CLAIMS | 19 - 20 | = 0 |
| INDEP CLAIMS $1 - 3 = 0$ | | |
| ☐ MULTIPLE DEPENDENT CLAIMS PRESENTED | | |

^{*} If the difference is less than zero, enter "0".

| SMALL ENTITY | | |
|--------------|--|--|
| FEE | | |
| \$ 355 | | |
| \$ | | |
| \$ | | |
| \$ | | |
| \$ | | |
| | | |

OTHER THAN A SMALL ENTITY

OR
OR
OR
OR
OR

<u>OR</u>

| | RATE | | FEE |
|---|-------|----|-----|
| | | \$ | 710 |
| | x 18 | \$ | |
| | x 80 | \$ | |
| | + 270 | \$ | |
| • | TOTAL | \$ | 710 |

Check No. <u>68142</u> in the amount of \$710 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Eric D. Morehouse Registration No. 38,565



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* If the difference is less than zero, enter "0".

| SMALL ENTITY | | | |
|----------------------------------|--------|-----------|--|
| RATE | FEE | <u>OR</u> | |
| | \$ 355 | <u>OR</u> | |
| x 9= | \$ | <u>OR</u> | |
| x 40 = | \$ | <u>OR</u> | |
| + 135 = | \$ | <u>OR</u> | |
| TOTAL | \$ | <u>OR</u> | |
| fee is attached. Except as other | | | |

OTHER THAN A

| SMALL ENTITY | |
|--------------|--------|
| RATE | FEE |
| | \$ 710 |
| x 18 | \$ |
| x 80 | \$ |
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